Policy #17
CurrentCare Recourse for Violation of Data Sharing/Data Use Agreement Policy

Purpose

The purpose of the Recourse for Violation of Data Sharing/Data Use Agreement Policy is to outline the actions of RIQI when there is reason to believe that a breach of the Agreement has occurred or when RIQI has reason to believe that a Data Sharing Partner (“Partner”) or a Data User (“User”) has violated the Data Sharing Agreement or Data Use Agreement. The Policy outlines the recourse or measures taken against Partners or Users, if the Partner or User violates or breaches the relevant Agreement.

Scope

This policy applies to all departments and positions at all levels, including full-time, part-time, and temporary positions. This policy also applies to all CurrentCare Data Sharing Partners and Data Users.

Policy Statement

Pursuant to the Rhode Island Health Information Exchange Act of 2008, RIQI is required to enter into Data Sharing Agreements with Provider Participants which will disclose protected health information (PHI) of patients who have enrolled in CurrentCare and have authorized health care providers to disclose their PHI to CurrentCare. In addition, RIQI must enter into Data Use Agreements with those health care providers who access PHI in CurrentCare with authorization from patients enrolled in CurrentCare.

In order to participate in CurrentCare, Data Sharing Partners and Data Users shall enter into a Data Sharing Partner and/or Data Use Agreement with RIQI regarding the responsibilities of participation in CurrentCare. A Partner or User may be an individual provider or a provider organization that executes a Data Sharing Partner Agreement or Data Use Agreement. The responsibilities of Partners and Users are outlined in the Data Sharing Partner Agreement and Data Use Agreement.

If a Partner or User who has executed an Agreement with RIQI breaches the Agreement, RIQI will determine, after a careful review of the facts presented, whether or not the breach of the Agreement requires immediate termination or is appropriate to give the Partner or User an opportunity to cure the breach of the Agreement.
Compliance

Any violation of this policy will subject the employee to disciplinary action or immediate discharge. Any RIQI employee having knowledge of any violation of the policy shall promptly report such violation to Human Resources.

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<thead>
<tr>
<th>Version</th>
<th>Effective Date</th>
<th>Statement of Change</th>
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</thead>
<tbody>
<tr>
<td>01</td>
<td>January 28, 2010</td>
<td>Original document</td>
</tr>
<tr>
<td>02</td>
<td>November 29, 2012</td>
<td>Format change</td>
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<tr>
<td>03</td>
<td>See signature date below</td>
<td>Revisions to Purpose and Policy Statement</td>
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Laura Adams, President & CEO  
Date: 3/20/14